

(I)(a)

Statement showing Shareholding Pattern

Name of Company : ELECTROTHERM (INDIA) LIMITED
 Scrip Code, Name of the Scrip : BSE : 526608
 Class of Security: Equity Shares
 Quarter Ended: 30th September, 2013

| Partly paid-up shares | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|---|--|---|--|
| Held by Promoter/Promoters Group | 0 | N.A. | N.A. |
| Held by Public | 0 | N.A. | N.A. |
| Total | 0 | N.A. | N.A. |
| Outstanding convertible securities | No. of outstanding securities | As a % of total No. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by Promoter/Promoter Group | 0 | N.A. | N.A. |
| Held by Public | 0 | N.A. | N.A. |
| Total | 0 | N.A. | N.A. |
| Warrants | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
| Held by Promoter/Promoter Group | 0 | N.A. | N.A. |
| Held by Public | 0 | N.A. | N.A. |
| Total | 0 | N.A. | N.A. |
| Total paid-up capital of the company assuming full conversion of warrants and convertible securities | 11476374 Equity Shares of Rs. 10/- each | | |



| Category code | Category of Shareholder | Number of Shareholders | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | | Shares Pledged or otherwise encumbered | |
|---------------|--|------------------------|------------------------|--|--|----------------------------|--|--------------------------|
| | | | | | As a percentage of (A+B) | As a percentage of (A+B+C) | Number of shares | As a percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| (A) | Shareholding of Promoter and Promoter Group | | | | | | | |
| 1 | Indian | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 9 | 2280575 | 2280575 | 19.87 | 19.87 | 300000 | 13.15 |
| (b) | Central Government/ State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (c) | Bodies Corporate | 1 | 975000 | 975000 | 8.50 | 8.50 | | |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (e) | Any Others (Specify) | 0 | 0 | 0 | 0.00 | 0.00 | | |
| | Sub Total (A)(1) | 10 | 3255575 | 3255575 | 28.37 | 28.37 | 300000 | 9.21 |
| 2 | Foreign | | | | | | | |
| (a) | Individuals (Non-Residents Individuals/Foreign Individuals) | 1 | 512500 | 512500 | 4.47 | 4.47 | | |
| (b) | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (c) | Institutions | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (d) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (e) | Any Others (Specify) | 0 | 0 | 0 | 0.00 | 0.00 | | |
| | Sub Total(A)(2) | 1 | 512500 | 512500 | 4.47 | 4.47 | | |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | 11 | 3768075 | 3768075 | 32.83 | 32.83 | 300000 | 7.96 |
| (B) | Public shareholding | | | | | | N.A. | N.A. |
| 1 | Institutions | | | | | | N.A. | N.A. |
| (a) | Mutual Funds / UTI | 2 | 9800 | 0 | 0.09 | 0.09 | | |
| (b) | Financial Institutions / Banks | 1 | 100 | 0 | 0.00 | 0.00 | | |
| (c) | Central Government / State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (g) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (h) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (i) | Any Other (specify) | | | | | | | |
| (i-i) | Foreign Financial Institutions | 1 | 1366666 | 1366666 | 11.91 | 11.91 | | |
| | Sub-Total (B)(1) | 4 | 1376566 | 1366666 | 11.99 | 11.99 | | |
| 2 | Non-institutions | | | | | | N.A. | N.A. |
| (a) | Bodies Corporate | 245 | 1309387 | 807687 | 11.41 | 11.41 | | |
| (b) | Individuals | | | | | | | |
| i | Individual shareholders holding nominal share capital up to Rs 1 lakh | 7594 | 1365780 | 1328603 | 11.90 | 11.90 | | |
| ii | Individual shareholders holding nominal share capital in excess of Rs. 1 lakh. | 10 | 292265 | 221065 | 2.55 | 2.55 | | |
| (c) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (d) | Any Other (specify) | | | | | | | |
| (d-i) | Clearing Member | 42 | 20972 | 20972 | 0.18 | 0.18 | | |
| (d-ii) | Foreign Company | 2 | 2000000 | 0 | 17.43 | 17.43 | | |
| (d-iii) | Non-Resident Indians | 85 | 51098 | 50598 | 0.45 | 0.45 | | |
| (d-iv) | Trusts | 1 | 1292231 | 1292231 | 11.26 | 11.26 | | |
| | Sub-Total (B)(2) | 7979 | 6331733 | 3721156 | 55.17 | 55.17 | | |
| (B) | Total Public Shareholding (B)= (B)(1)+(B)(2) | 7983 | 7708299 | 5087822 | 67.17 | 67.17 | N.A. | N.A. |
| | TOTAL (A)+(B) | 7994 | 11476374 | 8855897 | 100.00 | 100.00 | 300000 | 2.61 |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | N.A. | N.A. |
| (1) | Promoter and Promoter Group | 0 | 0 | 0 | 0 | 0 | | |
| (2) | Public | 0 | 0 | 0 | 0 | 0 | | |
| | GRAND TOTAL (A)+(B)+(C) | 7994 | 11476374 | 8855897 | 100.00 | 100.00 | 300000 | 2.61 |

| Sr. No. | Notes |
|---------|--|
| 1 | 3,00,000 Equity Shares of promoters has been pledged to the Bank of India towards the securities for various facilities sanctioned / disbursed by Bank of India. |



(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of shares held | | Encumbered shares | | | Details of warrant | | Details of Convertible Securities | | Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|--|------------------------|-----------------------------------|-------------------|-----------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | Number of shares held | As a % of grand total (A)+(B)+(C) | Number | As a percentage | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | |
| (I) | (II) | (III) | (IV) | (V) | (VI) = (V)/(III) *100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | WESTERN INDIA SPECIALITY HOSPITAL LTD. | 975000 | 8.50 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 2 | SHAILESH BHANDARI | 848275 | 7.39 | 150000 | 17.68 | 1.31 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 3 | MUKESH BHANDARI | 809500 | 7.05 | 150000 | 18.53 | 1.31 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 4 | RAKESH BHANDARI | 512500 | 4.47 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 5 | RITU BHANDARI | 243025 | 2.12 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 6 | NAGESH BHANDARI | 233125 | 2.03 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 7 | MUKESH BHANWARLAL BHANDARI [HUF] | 60000 | 0.52 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 8 | INDUBALA BHANDARI | 51500 | 0.45 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 9 | NARENDRA DALAL | 34500 | 0.30 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 10 | JYOTI BHANDARI | 375 | 0.00 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| 11 | REEMA BHANDARI | 275 | 0.00 | 0 | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. |
| | TOTAL | 3768075 | 32.83 | 300000 | 7.96 | 2.61 | N.A. | N.A. | N.A. | N.A. | N.A. |



(l)(c)(i) Statement showing holding of Securities (including Shares, Warrants, Convertible Securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the Shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (l)(a) above} | Details of warrants | | Details of convertible securities | | Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|-----------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t. total number of convertible securities of the same class | |
| 1 | DEG-Deutsche Investitions- und Entwicklungsgesellschaft mbH | 1366666 | 11.91 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 2 | IDBI TRUSTEESHIP SERVICES LIMITED (INDIA ADVANTAGE FUND - VI) | 1292231 | 11.26 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 3 | CASTLESHINE PTE LIMITED | 1000000 | 8.71 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 4 | LEADHAVEN PTE LIMITED | 1000000 | 8.71 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 5 | WEB BUSINESSES.COM GLOBAL LTD. | 293299 | 2.56 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 6 | HIGHLAND FINANCE & INVESTMENTS PVT. LTD. | 250000 | 2.18 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 7 | FROID FINANCE & INVESTMENTS PVT. LTD. | 250000 | 2.18 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 8 | LAVISH PACKAGERS LTD. | 248347 | 2.16 | N.A. | N.A. | N.A. | N.A. | N.A. |
| TOTAL | | 5700543 | 49.67 | N.A. | N.A. | N.A. | N.A. | N.A. |

(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name of the Shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) Indicated in Statement at para (l)(a) above} | Details of warrants | | Details of convertible securities | | Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|---|-----------------------|--|-------------------------|---|---------------------------------------|---|--|
| | | | | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t. total number of convertible securities of the same class | |
| 1 | DEG-Deutsche Investitions- und Entwicklungsgesellschaft mbH | 1366666 | 11.91 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 2 | IDBI TRUSTEESHIP SERVICES LIMITED (INDIA ADVANTAGE FUND - VI) | 1292231 | 11.26 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 3 | CASTLESHINE PTE LIMITED | 1000000 | 8.71 | N.A. | N.A. | N.A. | N.A. | N.A. |
| 4 | LEADHAVEN PTE LIMITED | 1000000 | 8.71 | N.A. | N.A. | N.A. | N.A. | N.A. |
| TOTAL | | 4658897 | 40.60 | N.A. | N.A. | N.A. | N.A. | N.A. |



(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|-------------------------|----------------------------|--|
| - | NIL | NIL | NIL |
| | | | |
| | TOTAL | NIL | NIL |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|---|---------------------------|---|---|
| - | NIL | NIL | NIL | NIL |
| | | | | |
| | | | | |
| | TOTAL | NIL | NIL | NIL |

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares held by 'Promoter / Promoter Group' are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above] |
|---------|-----------------------|---|---|---|
| - | N.A. | N.A. | N.A. | NIL |
| | | | | |
| | | | | |
| | TOTAL | N.A. | N.A. | NIL |

